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2004 JUL 22 PM 3:04

Attorney Docket SEL 195

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application of:

Yamazaki et al.

Serial No.: 09/610,753

Filed: July 6, 2000

For: Semiconductor Device And Manufacturing  
Method Thereof

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450 on

July 15, 2004  
(Date of Deposit)

Shannon Wallace

Name of applicant, assignee, or Registered Rep.

Shannon Wallace 7/15/04  
Signature Date

Dear Sir:

**REQUEST FOR REFUND**

On June 3, 2004, a Amendment F, Information Disclosure Statement and Extension of Time was filed along with a check for \$420.00. On June 9, 2004 our deposit account was charged \$420.00, and our check was also cashed, see enclosed deposit account statement and copy of check. Therefore, a refund of \$420.00 is requested for the double filing charge.

Accordingly, it is requested that this fee now be refunded.

**Refund Request**

This request for refund is made for the double payment of the fees that were paid in this application on June 9, 2004 in the amount of \$420.00.

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## Fees Paid for Which Refund Requested

	Amount of Refund <u>Requested</u>
<input type="checkbox"/> Filing Fee	_____
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application	_____
and/or	
<input type="checkbox"/> Surcharge for filing the oath or declaration on a date later than the filing date of the application	_____
<input type="checkbox"/> Extension of Time	_____
<input type="checkbox"/> Issue Fee	_____
<input type="checkbox"/> Patent Maintenance Fee	
<input type="checkbox"/> First Maintenance Fee	_____
<input type="checkbox"/> Second Maintenance Fee	_____
<input type="checkbox"/> Third Maintenance Fee	_____
<input type="checkbox"/> Patent Maintenance Fee Surcharge	_____
<input checked="" type="checkbox"/> Other (Double charge)	<u>\$420.00</u>

TOTAL

\$420.00

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**Manner of Refund**

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Please make refund by:

- ☒ Crediting Deposit Account No. 50/1039.  
☐ Refunding overpayment.

Respectfully submitted,

Date:

July 14, 2004



MARK J. MURPHY

Registration No: 34,225

COOK, ALEX, McFARRON, MANZO,  
CUMMINGS & MEHLER, LTD.

200 W. Adams Street - Suite 2850

Chicago, Illinois 60603

(312) 236-8500

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From: ABN AMRO To: COOK ALEX MCFARRON CUMMINGS & M  
s of photocopy(s)

Date: 7/8/04 Time: 6:25:24 PM

Page 2 of 2

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Amount	Posting Date	Seq Number	Account Number
\$420.00	06/10/2004	0027022042	

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COOK ALEX MCFARRON MANDO  
CUMMINGS & MATHIAS, LTD.  
1000 N. LAKE ST. - SUITE 1000  
CHICAGO, ILLINOIS 60610  
CITY: 312-467-1100 FAX: 312-467-1101

17077

06/22/04

\$ 420.00

DOLLARS

Commissioner for Patents

Four Hundred Twenty & No/100 Dollars

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P.O. Box 1490  
Alexandria, VA 22313-1450

1017077 001000606 5800798100 0000043000

\$420

0006163101

0027022042

1017077

001000606

5800798100

0000043000

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CHI VAN 096-46-4591101085



**United States  
Patent and  
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PATENT  
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**Deposit Account Statement**

Requested Statement Month: June 2004

Deposit Account Number: 501039

Name: COOK, ALEX, MCFARRON, MANZO, CUMMMINGS & MEHLER,

Attention: RAYMOND M MEHLER

Address: 200 WEST ADAMS STREET

City: CHICAGO

State: IL

Zip: 60606

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/02	1	29171572	0978-0007	800121775	\$15.00	\$26,425.00
06/03	3	10105984	KAP 102 DIV	225121776	\$55.00	\$26,370.00
06/03	37	10129414	0524-0143	920421777	\$100.00	\$26,470.00
06/03	130	20040019371	0325-0008	801122066	\$55.00	\$26,415.00
06/03	215	78428753	0417-0035	700121612	\$335.00	\$26,080.00
06/04	120	6715601	1003-0041 JHB/DK	801321500	\$25.00	\$26,055.00
06/07	41	09821473	SEL 137 DIV	125121778	\$110.00	\$25,945.00
06/07	60	10738296	0553-0389	105321583	\$130.00	\$25,815.00
06/08	1	10430581	0553-0130.02	120121779	\$86.00	\$25,729.00
06/09	1	09812753		125221780	\$420.00	\$25,309.00
06/09	6	10078187	SEL304	120221781	\$180.00	\$25,129.00
06/09	7	10078187	SEL304	120121782	\$86.00	\$25,043.00
06/09	95	78431718	0672-0116	700121783	\$335.00	\$24,708.00
06/09	148	10235734	0553-0332	125121784	\$110.00	\$24,598.00
06/09	178	10267566	F8-5460 DIV	125221785	\$10.00	\$24,588.00
06/10	21	5602707	1444-0037	155221786	\$2,090.00	\$22,498.00
06/10	25	5208545	1444-0027	155321787	\$3,220.00	\$19,278.00
06/10	70	10335833	0343-0005.02	281421788	\$10.00	\$19,268.00
06/10	115	10863057	0553-0250.02	9204	-\$258.00	\$19,526.00
06/14	37	6170800	0341-0057.01	255121661	\$455.00	\$19,071.00
06/14	101	6138312	0285-0022	255122067	\$455.00	\$18,616.00
06/14	102	6138312	0285-0022	2554	\$65.00	\$18,551.00
06/15	69	E-REPLENISHMENT		920321773	-\$10,000.00	\$28,551.00
06/15	130	10273606	295 P 008	2501	\$665.00	\$27,886.00
06/15	131	10273606	295 P 008	1504	\$300.00	\$27,586.00
06/15	132	10273606	295 P 008	2251	\$55.00	\$27,531.00
06/15	194	78434830	0417-0034	700121855	\$335.00	\$27,196.00
06/17	1	09872604	LINDSAY 51	120222069	\$18.00	\$27,178.00

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PTO/SB/22 (08-03)

Approved for use through 7/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) <b>SEL 195</b>	
In re Application of <b>Yamazaki et al</b>			
Application Number <b>09/610,753</b>		Filed <b>07/06/2000</b>	
For <b>Semiconductor Device And Manufacturing Method Thereof</b>			
Art Unit <b>2815</b>		Examiner <b>P. Brock III</b>	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

☐ One month (37 CFR 1.17(a)(1)) \$ \_\_\_\_\_

☒ Two months (37 CFR 1.17(a)(2)) \$ **420.00**

☐ Three months (37 CFR 1.17(a)(3)) **06/09/2004 HGU7EHA1 00000001 501039 09610753** \$ \_\_\_\_\_

☐ Four months (37 CFR 1.17(a)(4)) **01 FC:1252 420.00 DA** \$ \_\_\_\_\_

☐ Five months (37 CFR 1.17(a)(5)) \$ \_\_\_\_\_

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number **50/1039**.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number **34,225**

☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

June 3, 2004 Date  
312-236-8500 Telephone Number

Mark J. Murphy Signature  
Mark J. Murphy Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Adjustment date: 08/23/2004 EEKUBAY1  
06/09/2004 HGU7EHA1 00000001 501039 09610753  
01 FC:1252 420.00 CR

08/23/2004 EEKUBAY1 00000001 09610753

420.00 CR

01 FC:1252

## List Payment Allocations

## Selected Payment

Type: Check, BRC: 071000505, Acct. Nb.: 5800296120, Nb.: 017077  
Amount: 420.00      Accounting Date: 06/08/2004      Created By: EAREGAY1  
Batch No.: 1      Seq. No.: 7405401      Status: A

Type

Amount All

Sale Nb: 18 , Nm./Number: 09684568

420.00 EA



Show Items

Show Transactions



Adjustment date: 08/23/2004 EEKUBAY1  
06/08/2004 EAREGAY1 00000018 09684568  
01 FC:1252 -420.00 OP